



PATENTS  
CF-19

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT APPLICATION

Applicants : Ginsberg et al.  
Application No. : 09/853,430 Confirmation No. : 6761  
Filed : May 10, 2001  
For : SYSTEMS AND METHODS FOR ANONYMOUS  
ELECTRONIC TRADING  
Group Art Unit : 2164

New York, New York 10020  
April 3, 2003

Box DAC  
Hon. Commissioner for Patents  
Washington, D.C. 20231

NOTIFICATION UNDER 37 C.F.R. § 1.213(c)  
OF FOREIGN FILING OF PATENT APPLICATION

Sir:

Pursuant to 37 C.F.R. § 1.213(c), applicants hereby notify the Patent and Trademark Office that the above-identified patent application was filed in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing.

RECEIVED  
APR 09 2003  
OFFICE OF PETITIONS

The earliest such filing occurred on August 1, 2001, which is more than forty-five days prior to this notification. A petition under 37 C.F.R. § 1.137(b,f) to revive this application is being filed concurrently herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Hon. Commissioner for Patents  
P.O. Box 2327  
Arlington, Virginia 22202  
on April 3, 2003

CLAUDE J. Simon - now deceased

Name of Person Signing Certificate

[Signature]  
Signature of Person Signing Certificate

April 3, 2003  
Date of Signature

Respectfully submitted,

[Signature]

Hassan Albakri  
(Limited Recognition)  
Agent for Applicants  
FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1105  
Tel.: (212) 596-9000

RECEIVED

APR 09 2003

OFFICE OF PETITIONS